



Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Charles E. Taylor and Jim L. Lee

Appl. No.: 10/074,082

Confirm. No.: 8109

Filed: February 12, 2002

Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER  
DEVICES WITH AN UPSTREAM FOCUS ELECTRODE

PATENT APPLICATION

Art Unit: 1741

Examiner: Unassigned

Customer No. 23910

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on June 6, 2002.

(Attorney Signature)

Sheldon R. Meyer, Reg. No. 27,660

Signature Date: June 6, 2002

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated March 11, 2002, enclosed are the following documents in connection with the above-identified application:

- ☒ Copy of Notice to File Missing Parts -- Filing Date Granted
- ☒ Declaration for C-I-P Patent Application
- ☒ Information Disclosure Statement under 37 C.F.R. § 1.56 with cited references
- ☒ Petition for Extension of Time Under 37 C.F.R. § 1.136
- ☒ Preliminary Amendment
- ☒ Request for Correction of Filing Receipt and Copy of Filing Receipt with corrections

**Total Fee**

The Total Fee associated with this communication has been calculated as shown below:


<u>  </u>	Assignment recording fee (\$40.00)	
<u>✓</u>	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or Declaration:	
<u>  </u>	<u>✓</u> Large Entity	\$130.00
<u>✓</u>	Petition for Extension of Time Under 37 C.F.R. § 1.136	<u>\$110.00</u>
TOTAL FEE DUE:		\$240.00

**Method of Payment of Fees**

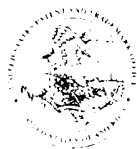
- ✓ A check in the amount of the TOTAL FEE DUE is enclosed.
- ✓ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: June 6, 2002

By:   
Sheldon R. Meyer  
Reg. No. 27,660

FLIESLER DUBB MEYER & LOVEJOY LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
Telephone: (415) 362-3800



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20503

APPLICATION NUMBER	FILING DATE	APPLICANT NAME	INVENTOR NAME
10 074,082	02/12/2002	Charles E. Taylor	SHIPR-01041U SL SRM SDS

CONFIRMATION NO. 8109

## FORMALITIES LETTER



\*OC000000007611352\*

Sheldon R. Meyer, Esq.  
FLIESLER DUBB MEYER & LOVEJOY LLP  
Fourth Floor  
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San Francisco, CA 94111-4156

RECEIVED

MAR 15 2002

FLIESLER DUBB  
MEYER & LOVEJOY

Date Mailed: 03/11/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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No fee is required with this communication.

☒ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: June 6, 2002

By:   
Sheldon R. Meyer  
Reg. No. 27,660

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## UNITED STATES PATENT AND TRADEMARK OFFICE


 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20590

APPLICATION NUMBER	FILING DATE	GRP/ART UNIT	FILED RECD	ATTY DOCKET NO	DRAWINGS	FILED CLMS	FILED SDS
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10/074.082	✓	02/12/2002	✓	1741	3824	SHPR- 01041USL	✓	32 /	112	✓	20	✓
						SRM/SDS						

CONFIRMATION NO. 8109 ✓

## FILING RECEIPT



\*OC000000007611351\*

 Sheldon R. Meyer, Esq.  
 FLIESLER DUBB MEYER & LOVEJOY LLP  
 Fourth Floor  
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 San Francisco, CA 94111-4156

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MAR 15 2002

FLIESLER DUBB  
MEYER & LOVEJOY

Date Mailed: 03/11/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

 Charles E. Taylor, Residence Not Provided; ✓  
 Jim L. Lee, Residence Not Provided;

## Domestic Priority data as claimed by applicant

 THIS APPLICATION IS A CIP OF 09/924,624 08/08/2001 ✓  
 WHICH IS A CON OF 09/564,960 05/04/2000 PAT 6,350,417 ✓  
 WHICH IS A CIP OF 09/186,471 11/05/1998 PAT 6,176,977 ✓  
 AND CLAIMS BENEFIT OF 60/341,518 12/13/2001 ✓  
 AND CLAIMS BENEFIT OF 60/306,479 07/18/2001 ✓

## Foreign Applications

If Required, Foreign Filing License Granted 03/08/2002 /

Projected Publication Date: To Be Determined - pending completion of Missing Parts ✓

Non-Publication Request: No ✓

SHPR - 01041 USL  
Act 112

SRM/SDS

meyer  
Q/melb

**Title**

Electro-kinetic air transporter-conditioner devices with an upstream focus electrode ✓✓

**Preliminary Class**

422

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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